

Regulations for Reviewing Professionalism of Master's Theses and Doctoral Dissertations at I-Shou University

Adopted by the University Administration Council on July 15, 2020, and promulgated with the consent from the President dated on August 7, 2020

Amendments to Article 2 adopted by the University Administration Council on September 15, 2021, and promulgated with the consent from the President dated on October 1, 2021

- Article 1 The Regulations for Reviewing Professionalism of Master's Theses and Doctoral Dissertations at I-Shou University (hereinafter referred to as "the Regulations") are made by I-Shou University (hereinafter referred to as "the University") with the aim of enforcing academic self-discipline, maintaining the quality of theses and dissertations (including substitutes for theses and dissertations; hereinafter collectively referred to as "the papers"), and establishing a mechanism for reviewing the professionalism of the papers.
- Article 2 The mechanism for reviewing the professionalism of the papers of colleges, departments, institutes, and programs is subject to the following:
1. Providing a mechanism for reviewing the professionalism of the papers in order to make sure that the papers meet the requirements for the professional fields of colleges, departments, institutes, or programs:
 - a. Establishing a review committee comprising three members (two are recommended by departments, institutes, or programs, and the other one, who may come from outside the University, recommended by deans) who shall be qualified for being examination committee members.
 - b. Students shall propose their proposals at least three months prior to the application for oral defense to the review committee.
 - c. The proposal shall include the topic, the research idea, the research focus, and the sample.
 - d. If colleges, departments, institutes, or programs have an existing mechanism for review which is not against the aforesaid rules, such a mechanism shall prevail.

2. Supervisors shall advise, review, and assess the papers to improve the quality of the papers and make sure that the papers correspond to their fields of profession. Before a student applies for an oral defense, if a change in the research focus leads to deviation from his/her field of profession, the aforesaid mechanism for reviewing the professionalism shall restart.
3. In principle, the papers shall be accessible to the public once they are submitted to the National Central Library. Notwithstanding the foregoing, if the content involves confidential information, application for a patent, or information that shall not be unlawfully disclosed, an Application for Embargo of Thesis/Dissertation may be submitted to the Departmental (Institute/Program) Affairs Council for approval and to the President for ratification to delay public access or prohibit public access.
4. Departments, institutes, and programs may establish more exact rules based on the preceding three subparagraphs, and the new rules may surpass the mechanism stated in Subparagraph 1 of this article after being approved.

Article 3 When the Ministry of Education (hereinafter referred to as "the MOE") inspects students' right to education, it will also inspect the mechanism for reviewing the professionalism of the papers provided by colleges, departments, institutes, and programs. If any serious deficiencies are discovered, the MOE will ask for improvements within a given time period, and such improvements will be reviewed by the MOE. If colleges, departments, institutes, or programs fail to make improvements within the prescribed time period, recruitment suspension or a reduction in the enrollment quota will be imposed by the MOE, and the mechanism for reviewing the professionalism will be taken into consideration by the MOE for the establishment of new colleges, departments, institutes, and programs, the total enrollment quota, and grants and subsidies.

To satisfy the requirements of the MOE, colleges, departments, institutes, and programs shall establish and implement the mechanism for reviewing the professionalism of the papers and keep a record for future reference.

Article 4 If any paper is reported due to the lack of professionalism, colleges, departments, institutes, and programs shall review the paper following the established procedure and report the review result to the MOE. The MOE will invite experts in the field of profession from colleges, departments, institutes, and programs to review in writing. Colleges, departments, institutes, and programs shall, based on the self-review result and the review result from the MOE, provide the faculty member(s) involved in the

report with training in academic integrity or restrict the number of students under his/her supervision.

If colleges, departments, institutes, and programs fail to handle the aforesaid affairs or handle them improperly and fail to make improvements within a given time period even after being asked by the MOE, recruitment suspension or a reduction in the enrollment quota will be imposed, and no subsidies from the MOE will be granted.

Article 5 Matters not mentioned herein, if any, shall be subject to the applicable government laws as well as the applicable regulations and rules of the University.

Article 6 The Regulations become effective on the third day of promulgation after being adopted by the University Academic Council and the University Administration Council and ratified by the President.

Note: In the event of any disputes or misunderstanding as to the interpretation of the language or terms of the Regulations, the Chinese language version shall prevail.